

## Grants for Research Advancement and Transformation Program

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### PROGRAM DESCRIPTION

The **Grants for Research Advancement and Transformation (GREAT)** program, sponsored by the Office of the Vice President for Research (VPR) at the University of Texas at San Antonio (UTSA), will provide seed grants to support new areas of research for faculty at UTSA. The primary goal of these awards is to assemble preliminary data that can be used to seek extramural funding and advance UTSA's goal of reaching Tier One status.

Preference will be given to proposals that:

- Have a high potential for extramural funding
- Have significant scientific merit
- Represent a new direction for the Principal Investigator (PI)
- Build or strengthen cross-disciplinary research partnerships

Research that is already supported by other sources will not be approved for funding. Gap funding to bridge support between external grants or contracts will not be considered. *The applicant must clearly and convincingly demonstrate that the proposed project represents a new research direction in an area likely to generate extramural funding.* Thus, the proposal must not have overlap with any existing grants at the time of application.

Seed funding under this program is to be used to compete for extramural funds to support the project in the long term. Successful applicants must produce a new proposal for extramural funding as a final reporting requirement within one year of completion of the seed grant.

### ELIGIBILITY

Applicants must be full-time, tenure track or tenured faculty at the UTSA in residence at the time of application.

Only one proposal per applicant in this program will be accepted in an application cycle. An applicant may not be a PI on more than one proposal during an application period. An applicant may be a PI on one proposal and a co-investigator on another during the same application period. It is the PI's responsibility to ensure co-investigators are not in conflict.

Faculty who were awarded FY17 (September 1, 2016 – August 31, 2017) GREAT funding are ineligible to apply to the FY18 GREAT program. If an applicant has outstanding reports due under the GREAT program or any other institutional seed grant programs, they will be ineligible to receive any future seed grant awards until they have met their previous obligations.

Proposals that have already been submitted to an extramural agency or those already reviewed and found non-competitive by an extramural agency will not be reviewed. One exception to this restriction is proposals previously submitted to an extramural agency that were declined on the basis of insufficient preliminary data. The Seed Grant Review Committee will consider such proposals only if the PI submits an executive summary statement or official letter from the agency specifically stating the deficiency or absence of preliminary data, along with the original application.

## **Grants for Research Advancement and Transformation Program**

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If an application has been turned down by the GREAT review panel, the PI is allowed one resubmission of a revised proposal on the same subject from the preceding year. Revised proposals must indicate changes from previous proposal and responses to reviewer comments.

### **FUNDING LIMITS**

Total maximum amount to be requested is \$20,000. It is anticipated that six grants will be funded every year, subject to available resources.

Three of the six awards will be designated for early career faculty at UTSA. Early career faculty for this purpose are considered faculty who are within their first three years in a tenure-track position. Early career faculty proposals will be evaluated separately from all other applicants; however, they will still be evaluated on the same criteria.

**GRANT PERIOD** - September 1, 2017 to August 31, 2018.

### **PROPOSAL SUBMISSION**

There is one GREAT submission cycle for FY18 with a deadline **at the close of business** (5:00 P.M. CST) on March 15, 2017. An applicant may submit only a single proposal (new or revised) each year, whether individually or in combination with others (see Eligibility above). Awarded funds will be available after September 1, 2017. **Proposals must be submitted using CAYUSE.**

Contact your respective Research Service Center (RSC) for assistance with completing and submitting the GREAT application using CAYUSE.

**Research Service Center Contact Information:** <http://research.utsa.edu/osp/contacts.php>

If human subjects or animal research is involved, protocols to the Institutional Review Board (IRB) or Institutional Animal Care and Use Committee (IACUC) must be approved prior to funds being released.

- To complete IRB protocols - <http://research.utsa.edu/research-funding/human-subjects/>
- To complete IACUC protocols - [http://research.utsa.edu/research-funding/institutional-animal-care-and-use-program\\_new/](http://research.utsa.edu/research-funding/institutional-animal-care-and-use-program_new/)

All required proposal elements are needed at the time of submission. The application must include the following, in a text-searchable Adobe Acrobat pdf:

1. Proposal Cover Page (Use Attachment A)
2. Project Description: 5 page maximum
3. Key References: 1 page maximum
4. Plan for Extramural Support: 2 page maximum
5. Budget Template- (Use [FY17 UTSA Budget Template](#) under Pre-Award Forms)
6. Budget Justification: 2 page maximum
7. Biographical Sketch: 2 page maximum for each PI/co-investigator
8. Letter(s) of Support from Department Chair(s)
9. Grant Agreement (see Attachment B)

**If any information items requested above are not included at the time of submission, the proposal will not be reviewed.**

### **1. PROPOSAL COVER PAGE** – (USE ATTACHMENT A)

The Proposal Cover Page must include a clear, well-written executive summary that summarizes the essential content of the proposal including the objectives, benefits, and significance of the proposed research effort.

### **2. PROJECT DESCRIPTION** - 5 page maximum

The project description must include, in this order:

- General background;
- Scientific merit and significance of the research: Address how this project is a new direction or a different perspective from the PI's existing research efforts and the potential impact on the researcher, the discipline and/or society of this new direction;
- Impact of this research on society and application areas where this research can or will be applied;
- Specific goals, objectives and anticipated results;
- Description of methods including anticipated barriers or technical difficulties, and
- Specify the resources needed for this project (equipment, materials, etc.) and indicate their availability.

The project description must be concise, limited to five typed, single-spaced pages with a 12-point Times New Roman font and one-inch margins. If additional information is important to submit, appendices may be included but there is no obligation for reviewers to read it. If two or more appendices are included in a proposal, they should be designated Appendix A, Appendix B, etc. A violation of this format will result in your proposal not being reviewed.

**NOTE:** It is important that you consult the [Office of Commercialization and Innovation](#) before disclosing details of any research that may be patentable. Any confidential information in this proposal must be clearly identified by marking "Confidential" at the top and bottom of each page where the confidential information appears.

### **3. KEY REFERENCES** - 1 page maximum

### **4. PLAN FOR EXTRAMURAL SUPPORT** - 2 page maximum

Provide a specific plan for pursuit of extramural funding for this project. Submission of at least one extramural proposal will be required as part of the project award and must be completed within one year of the completion of the seed grant.

Provide the following details in your plan for extramural support:

- *Program:* Indicate from which extramural funding program(s) you will be seeking support. List specific program(s) and why you anticipate that the research supported by this seed grant will leverage external support. Which grant submission deadline will be targeted?
- *Objectives:* What will be the essences of the proposal and how is it specifically supported by this seed funding? What is the societal impact of the work you will be proposing?

## Grants for Research Advancement and Transformation Program

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- *Team:* Who will be involved at UTSA, and partners from other institutions or organizations? Briefly describe their skills related to the project. More people can be involved in your final proposal team than are included on the seed grant.
- *Pre-review:* Who will read and comment on your proposal before it is submitted? Having a colleague review your grant application prior to submission significantly increases the likelihood of being funded.

If this project has previously been submitted for extramural support and rejected based on a lack of preliminary data, a copy of the executive summary or official letter from the agency specifically stating the deficiency or absence of preliminary data, along with the original application should be included as appendices in your application.

### **5. BUDGET TEMPLATE**

GREAT Awards will be up to \$20,000 for a grant performance period of September 1, 2017 to August 31, 2018. Roll over to the next fiscal year and project extensions are not allowed. Funding that is not spent or encumbered by August 1, 2018 will automatically revert back to VPR, excluding salary and wages which can continue through August.

Budgets must be submitted solely on the UTSA approved budget template (FY17 UTSA Budget Template) found at <http://research.utsa.edu/research-funding/forms-policies-guidelines/>. Contact your respective Research Service Center (RSC) for assistance with completing the budget template.

### **6. BUDGET JUSTIFICATION**

A budget justification (no more than two pages) must be submitted providing details for all proposed expenditures. The budget justification must convey an integrated understanding of the types of resources (labor, materials, other) necessary to complete the proposed effort.

### **Budget Considerations**

Grant funds **can** be used for the following:

- Salaries and/or wages for faculty, postdoctoral fellows, graduate and undergraduate students. Fringe benefits are paid centrally- *exclude* fringe benefits on the budget template worksheet.
- Supplies but must be justified as needed for project success.
- Expenses for field work, data collection, archival research, training, educational purposes and/or presentations on research, creative or scholarly activities directly related to the proposed project.
- Consultant fees.
- Contracts and sub-awards for activities outside of UTSA but must be clearly and convincingly described as necessary for successful execution of the proposed project.
- Travel to conferences or other conference support if directly related to the proposed work.
- Travel for data collection or other purposes when justified as essential to the project.

## Grants for Research Advancement and Transformation Program

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Grant funds **cannot** be used for the following:

- Office equipment, including computers or laptops.
- International travel.
- Student travel.
- Stipends, scholarships and/or tuition.
- Indirect costs (F&A) costs.
- Payment of salaries to non-UTSA personnel.

The PI may transfer up to 25% of the award into different categories of spending without written permission from the VPR Director of Research Financial Administration, as long as the category is in the original budget approved by the Seed Grant Review Committee.

### **7. BIOGRAPHICAL SKETCH**

Complete a biographical sketch for each PI and co-investigator. Do not exceed two page per sketch. Each PI may use their preferred format (NSF or NIH). Content for each biographical sketch should include the following:

- A. Earned professional or educational degrees received, professional experience and appointments, and a list of research activities and publications.
- B. Date, sources and amount of all grants, awards, contracts or other research funds solicited and/or received in the past five (5) years.

### **8. LETTER OF SUPPORT**

Each application must include a letter of support from the PI's department chair addressed to the Vice President for Research with assurance that the PI will be present at the university for the duration of the grant period and if appropriate, any support the department is willing to give to the faculty member in pursuing this new research direction. If this research is part of a larger research vision in the department or college, the letter of support will describe how this proposal relates to that broader picture. The letter is part of the proposal packet that will be reviewed by panel members.

### **PEER REVIEW**

Applications will be reviewed by a Seed Grant Review Committee established annually by the Office of the Vice President for Research. This committee is appointed by the Vice President for Research, in consultation with the Deans. Additional faculty members may be added on an ad hoc basis by the Vice President for Research if they are deemed valuable to the review process. Committee members will be from a variety of academic backgrounds. As such, *proposals should be clearly written in a way that the goals, rationale and methods of the proposed work can be understood by all reviewers.*

Applicants will be informed of the Committee's recommendations on or about June 1, 2017. As noted above, funding will be available after September 1, 2017.

## Grants for Research Advancement and Transformation Program

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### **Proposals will be evaluated using the following criteria:**

1. If the proposed research is completed, does the proposal have a high chance of leveraging extramural support?
2. Are the significance and scientific merit of the research clearly documented?
3. Does the PI provide information necessary to determine if this is a new direction within their research focus area, and that the research does not overlap with existing funded projects?
4. Have potential barriers or technical difficulties been identified?
5. Does the PI (and co-investigators) have the experience necessary to carry out the project?
6. Are the goals, objectives and anticipated results stated clearly and are they reasonable, given the funds and time provided?
7. Are the methods outlined and do they reflect the goals and objectives stated in the proposal?
8. Have extramural opportunities been identified and are they reasonable?

### **GRANT ADMINISTRATION**

The PI is responsible for the administration of grant funds. In particular, he/she must be certain that over-expenditures do not occur, and that all funds are fully expended according to institutional fiscal year end deadlines. All work must be completed in the 12 month performance period.

### **INTERIM AND FINAL REPORTING REQUIREMENTS**

A Project Update Meeting/Report will be requested by the UTSA VPR in early March 2018. PI(s) awarded funding under this opportunity will be requested to submit their final report to the VPR Office using the UTSA Seed Grant Reporting Form to capture both quantitative and qualitative output. Awardees will be provided final reporting instructions prior to the end of their project. The PI(s) is responsible for submitting a Final Grant Report no later than September 30, 2018.

### **Extramural Grant Submission Required**

Successful applicants must produce a new proposal for extramural funding as part of the final report within one year of completion of the project. In exceptional cases, where seed funding may prove the project to be ultimately non-competitive, a waiver of the extramural application requirement can be requested from the Office of the Vice President for Research. If neither an external application nor letter of explanation is submitted, no new research funds will be made available to the PI or co-investigators until the letter or application is submitted. The PI must submit a report to the VPR describing the extramural grant submission details by September 30, 2019.

## Grants for Research Advancement and Transformation Program

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### GREAT PROGRAM TIMELINE

December 1, 2016 – Grant Request for Proposals (RFP) announced  
March 15, 2017 – Grant applications due in CAYUSE (by 5:00 P.M. CST)  
June 1, 2017 – Award winners announced  
September 1, 2017 – Grant performance period begins (i.e., funds available)  
March 1-15, 2018 – Project Update Meeting Requested by VPR  
August 1, 2018 – All funds must be spent or encumbered  
August 31, 2018 – Grant ends  
September 30, 2018– Final project report due  
September 30, 2019 – Extramural grant submission report due

### CONTACT INFORMATION

Ana Delgado  
Program Manager  
Office of Research Support  
One UTSA Circle, San Antonio, TX 78249  
Phone: (210) 458-8528  
Email: [Ana.Delgado@utsa.edu](mailto:Ana.Delgado@utsa.edu)

Beth Manning  
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Office of the Vice President for Research  
One UTSA Circle, San Antonio, TX 78249  
Phone: (210) 458-4342  
Email: [Beth.Manning@utsa.edu](mailto:Beth.Manning@utsa.edu)

**Project Information**

<b>Project Name:</b>	
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<b>Name of Principal Investigator(PI):</b>	
Phone Number:	
Email Address:	
PI College and Department:	

<b>Name of Co-Investigator:</b>	
Phone Number:	
Email Address:	
Co-Investigator College and Department:	

**Abstract (400 word or less)**

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**If this research proposal is funded, I agree that my acceptance obligates me to:**

- 1. Include the following acknowledgement on all publications resulting from this grant award.

*“This project was funded (full or in-part) by the University of Texas at San Antonio, Office of the Vice President for Research.”*

- 2. Release all permanent equipment (cameras, laboratory equipment, etc.) to the university.
- 3. Secure prior written approval from the Office of the Vice President for Research if the research to be conducted differs from that described in the proposal that was funded. Requests for such approval should be submitted to:

Jaclyn Shaw  
Director for Research Support  
Office of Research Support  
One UTSA Circle, San Antonio, TX 78249  
Phone: (210) 458-6767  
Email: Jaclyn.Shaw@utsa.edu

- 4. Submit at least one extramural proposal for funding to support the future progress of the project, within one year of the completion of the **GREAT** program.
- 5. Adhere to the assigned **GREAT** PROGRAM timeline:

**September 1, 2017** – Grant performance period begins (i.e., funds available)  
**March 1-15, 2018** – Project Update Meeting Requested by VPR  
**August 1, 2018** – All funds must be spent or encumbered  
**August 31, 2018** – Grant ends  
**September 30, 2018** – Final project report due  
**September 30, 2019** – Extramural grant submission report due

- 6. Respond to all inquiries and communications from the Office of the Vice President for Research related to the **GREAT** funded project.

**Principal Investigator**

**Signature:** \_\_\_\_\_

**Project Name:** \_\_\_\_\_

**Date:** \_\_\_\_\_